



REGULATORY INTELLIGENCE

Rapidly doing more with less

Regulatory Intelligence (RI) is information that insurers need to more effectively execute activities associated with complying with regulatory changes.

When a regulation changes, compliance departments must execute a series of tasks to begin the compliance process. They must:

- 1) Determine relevance -- Is this regulatory change relevant to my business?
- 2) Evaluate what business requirements the regulatory change has created.
- 3) Build a set of tools required to perform the new requirements (policies & procedures, forms, documents, etc.)

Historically, insurance firms have performed this work within their own enterprise.

Comply On Demand Enterprise™ (CODE) provides this information to you. Utilizing the Compliance Assurance team of regulatory, legal, and business analysts, “WE” transform all regulatory changes into Regulatory Intelligence and deliver this information to you through our CODE software.

CODE Regulatory Intelligence...

Relevance Testing Functionality – Provides the capability to easily and quickly determine and ensure that the regulatory change is truly relevant to you.

Requirements – Builds a set of Requirements your firm needs to perform to comply with the regulatory change.

Suggested Tasks – Creates specific tasks needed to be undertaken by various parts of your organization to comply with the regulatory change.

Tools – Recommends tools to facilitate the performance of the Suggested Task.

So if a regulation changes, and that change requires a new procedure to be developed, CODE would inform you of the need to perform the task.

Home Add Requirement Calendar Reports Search Workflow

Edit Task

Suggested Tasks are provided for ALL regulatory changes.

<< My Previous Task | My Next Task >>

Task User Guide Add Note Clone Save Save and Return Check Spelling

Task
(Companies Not Domiciled in Tennessee) Update procedures to ensure Notification of Adverse Financial Condition Report filed with state of domicile is filed with Tennessee's commissioner within five (5) business days of receipt of the report.

Purpose

Details
Task ID/HID: 667 / 1
Dependencies:
Frequency Of Occurrence: One Time
NATP Task: No

Associated Regulations

Citation Number	Abstract	State
2009 TN REG TEXT 168706	Annual Audited Financial Reports	TN

Page 1 of 1 View 1 - 1 of 1

Status
Status: Unassigned
Percent Complete: 0 %

Important Dates
Due Date:
Follow-up Date:
Escalation Date:
Assigned Date: 1/26/2010
Date Accepted By Assignee:

Assignments
Assigned By: caccpp@complyfast.com
Assigned To: caccpp@complyfast.com

Monitor List Add/Remove
 Add Remove

Tools

Name	Tool Type	Date Created	Date Modified	View/Edit	Delete
Tenn - (Non-Domiciled Company) Procedure Update for Filing Notification of Adverse Financial Condi	File	01/26/2010			

Tools provided to facilitate the performance of suggested Tasks.

Page 1 of 1 View 1 - 1 of 1

Add Tool

TOOLS AND TASKS. To demonstrate the power of CODE, this example is related to a recent regulatory change in Tennessee. CODE suggests a **Task**, in this case "**Update procedures to ensure Notification...**" CODE also provides a **Tool** to help perform the **Task**. In this example, it is a Microsoft Word file called, "**Tenn-(Non-Domiciled Company)...**" that would provide you with an actual draft procedure. Another **Tool** example would be if the regulatory change created a need to send a letter to the insured, CODE would inform you of the **Task** "**Send letter xxxxxx to the insured,**" and we would also provide you with a **Tool** -- a sample letter in Microsoft Word.

CODE is the next generation of regulatory compliance solutions. No other solution provides this level of value. CODE is more than just a description or highlighted copy of the regulatory change, CODE also informs you how and when to comply.